

# ***Oneida Tribe of Indians of Wisconsin***



Oneidas bringing several hundred bags of corn to Washington' s starving army at Valley Forge, after the colonists had consistently refused to aid them.



UGWA DEMOLUM YATEHE  
Because of the help of this Oneida Chief in cementing a friendship between the six nations and the colony of Pennsylvania, a new nation, the United States was made possible.


## **BC Resolution 06-24-15-A Investigative Leave Policy Amendments**

- WHEREAS,** the Oneida Tribe of Indians of Wisconsin is a federally recognized Indian government and a treaty tribe recognized by the laws of the United States of America; and
- WHEREAS,** the Oneida General Tribal Council is the governing body of the Oneida Tribe of Indians of Wisconsin; and
- WHEREAS,** the Oneida Business Committee has been delegated the authority of Article IV of the Oneida Tribal Constitution by the Oneida General Tribal Council; and
- WHEREAS,** the Investigative Leave Policy was adopted by the Oneida Business Committee by resolution BC-04-07-99-A and amended by resolution BC-08-13-14-D; and
- WHEREAS,** the amendments to the Investigative Leave Policy remove language that states that investigative leaves do not apply to investigations regarding the appeals of disciplinary actions or employee complaint investigations; and
- WHEREAS,** the amendments reduce how long an employee can be placed on investigative leave as well as reduce the timeframe of an extension; and
- WHEREAS,** the amendments require the employee's supervisor to notify the employee through a memorandum when to return to work and/or the disciplinary action that will be taken; and
- WHEREAS,** the amendments add an enforcement provision; and
- WHEREAS,** other amendments change the appeal process, only allowing the employee to review a redacted copy of the written investigation report in the event of an appeal and only allowing an appeal for a disciplinary action that arises from an investigation; and
- WHEREAS,** a public meeting was held on April 30, 2015, in accordance with the Legislative Procedures Act.

**NOW THEREFORE BE IT RESOLVED**, that the attached amendments to the Investigative Leave Policy are hereby adopted.

#### **CERTIFICATION**

I, the undersigned, as Secretary of the Oneida Business Committee, hereby certify that the Oneida Business Committee is composed of 9 members of whom 5 members constitute a quorum; 7 members were present at a meeting duly called, noticed and held on the 24<sup>th</sup> day of June, 2015; that the forgoing resolution was duly adopted at such meeting by a vote of 6 members for, 0 members against, and 0 members not voting; and that said resolution has not been rescinded or amended in any way.

  
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Lisa Summers, Tribal Secretary  
Oneida Business Committee

\*According to the By-Laws, Article I, Section 1, the Chair votes "only in the case of a tie."

## **Investigative Leave Policy**

Article I. Purpose and Policy  
Article II. Adoption, Amendment, Repeal  
Article III. Definitions  
Article IV. Scope  
Article V. Authorization  
Article VI. Employee Notice  
Article VII. Investigator(s) and Reports

Article VIII. Duration  
Article IX. Employee Responsibilities  
Article X. Pay and Benefits  
Article XI. Enforcement  
Article XII. Appeal  
Article XIII. Confidentiality

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### **Article I. Purpose and Policy**

1-1. The purpose of this Policy is to address investigative leave for employees undergoing work-related investigations.

1-2. It is the policy of the Oneida Tribe of Indians of Wisconsin to maintain confidentiality and avoid undue influence when conducting an investigation into an employee's alleged wrong doings.

### **Article II. Adoption, Amendment, Repeal**

2-1. This Policy was adopted by the Oneida Business Committee by resolution BC-04-07-99-A, and amended by resolution BC-08-13-14-D and BC-06-24-15-A.

2-2. This Policy may be amended or repealed by the Oneida Business Committee or the General Tribal Council pursuant to the procedures set out in the Legislative Procedures Act.

2-3. Should a provision of this Policy or the application thereof to any person or circumstances be held as invalid, such invalidity shall not affect other provisions of this Policy which are considered to have legal force without the invalid portions.

2-4. In the event of a conflict between a provision of this Policy and a provision of another policy, the provisions of this Policy shall control.

2-5. This Policy is adopted under authority of the Constitution of the Oneida Tribe of Indians of Wisconsin.

### **Article III. Definitions**

3-1. This section shall govern the definitions of words and phrases used within this Policy. All words not defined herein shall be used in their ordinary and everyday sense.

(a) "Employee" shall mean any individual who is employed by the Tribe and is subject to the direction and control of the Tribe with respect to the material details of the work performed, or who has the status of an employee under the usual common law rules applicable to determining the employer-employee relationship. "Employee" includes, but is not limited to; an individual employed by any program or enterprise of the Tribe, but does not include elected or appointed officials or political appointees.

(b) "Investigative leave" shall mean a temporary absence from regular job duties for the purpose of conducting an investigation to determine whether conduct or alleged conduct by an employee should result in disciplinary action and/or termination of employment.

(c) "Tribal" or "Tribe" shall mean the Oneida Tribe of Indians of Wisconsin.

### **Article IV. Scope**

4-1. An employee shall be placed on investigative leave when one (1) of the following occurs:

(a) A situation requires an investigation be conducted and the employee's presence may influence the outcome.

(b) An employee allegedly commits an act which would preclude the employee from

meeting employment eligibility including required Licenses, the Tribal Fidelity Bond, or Background Investigation requirements.

4-2. This Policy shall not be used as a form of discipline.

#### **Article V. Authorization**

5-1. This leave, or an extension of this leave, shall only be used when an employee's supervisor receives prior approval from the Human Resources Department Manager or his or her designee and:

- (a) their Division Director; or
- (b) if there is no Division Director, the person at the highest level of the chain of command.

5-2. If the Human Resources Department Manager or his or her designee and the Division Director or his or her equivalent do not agree with placing the employee on investigative leave, then the final decision shall rest with the Human Resources Department Manager or his or her designee.

5-3. The prior approval requested in 5-1 shall be granted or denied within forty-eight (48) hours of receiving the request.

5-4. During the investigative leave, the employee's supervisor shall authorize an alternative work assignment if all the following occur:

- (a) The alleged action does not preclude the employee from working elsewhere in the organization;
- (b) An alternative work assignment is available; and
- (c) The employee meets the minimum job requirements of the alternative work assignment.

5-5. If an employee is placed in an alternative work assignment under section 5-4, the alternative work assignment shall be considered a part of the investigative leave and the employee shall continue to receive their same rate of pay.

#### **Article VI. Employee Notice**

6-1. When the employee is placed on investigative leave, the employee shall immediately receive a written notice from the employee's supervisor; the notice shall contain the following:

- (a) The specific allegation(s) being investigated;
- (b) The employee shall be on unpaid status, unless he or she is placed in an alternative work assignment;
- (c) The alternative work assignment, if appropriate;
- (d) The expected length of the investigation;
- (e) Whether or not the investigation is being forwarded to an outside agency;
- (f) The telephone number and name of person to contact in case of questions;
- (g) The procedures to return to work upon completion of the investigation; and
- (h) That the employee still remains as an employee with the Tribe.

6-2. If the employee's investigative leave is extended past the original fifteen (15) calendar days pursuant to section 8-1, the supervisor shall immediately notice the employee in writing of this extension and the reason for the extension.

#### **Article VII. Investigator(s) and Reports**

7-1. The employee's supervisor and/or area manager shall be responsible for completing the investigation. If the employee being investigated reports directly to the Oneida Business

Committee, the Tribal Equal Employment Opportunity Officer shall conduct the investigation.

7-2. If the allegations against the employee include criminal actions, or actions that may affect licensing, the supervisor shall conclude the employment related investigation without waiting for the results of a criminal or licensing investigation.

7-3. The person(s) conducting an investigation shall prepare a written report that shall include the findings of the investigation, and if someone other than the employee's supervisor conducts the investigation, a copy of the written report shall be provided to the employee's supervisor. If someone other than the employee's supervisor conducts the investigation, within forty-eight (48) hours of receiving the written report, the supervisor shall complete the investigation by determining and documenting any corrective actions needed.

7-4. The employee's supervisor shall prepare a memorandum based on the written report which shall be provided to the employee and shall inform the employee of the following:

- (a) corrective actions needed based on the written report, if any; and
- (b) when to return to work, if applicable and/or what disciplinary action will be taken against the employee based on the report; and
- (c) a redacted copy of the written report will be made available to the employee only in the event the employee appeals any disciplinary action.

#### **Article VIII. Duration**

8-1. An investigative leave shall be no longer than fifteen (15) calendar days, with the ability to extend one (1) time for an additional fifteen (15) calendar days with a valid explanation of the reason for the extension. The extension shall be determined by the same individuals who placed the employee on investigative leave in section 5-1. However, if the investigation is forwarded to an outside agency, these timelines shall not apply.

8-2. Investigative leave shall end upon any of the following occurrences:

- (a) the expiration of the fifteen (15) calendar day time limit, if applicable;
- (b) the expiration of the fifteen (15) calendar day extension if granted;
- (c) termination of the employee's employment based on the investigation;
- (d) the employee's return to work based on the written report submitted to the employee after an investigation is completed; or
- (e) the employee chooses to resign or retire.

#### **Article IX. Employee Responsibilities**

9-1. An employee placed on investigative leave shall:

- (a) Not report to work or the worksite without prior supervisory approval;
- (b) Abide by all Tribal laws and policies; and
- (c) Fully cooperate with the investigation as requested by those conducting the investigation.

#### **Article X. Pay and Benefits**

10-1. Paid leave shall not be authorized.

10-2. An employee placed on investigative leave shall not receive any wages or benefits unless placed in an alternative work assignment. If the employee refuses the alternative work assignment and is returned to work, the employee shall not receive any back pay or benefits.

10-3. If an alternative work assignment is not available, the employee may use his or her accrued vacation and/or personal time while on investigative leave.

10-4. An employee shall receive back pay and benefits for the time the employee was on investigative leave pursuant to the Back Pay Policy if all of the following occur:

- (a) The employee was not offered an alternative work assignment when placed on investigative leave; and
- (b) The employee is returned to his or her position; and
- (c) The employee is not disciplined based on the investigation.

**Article XI. Enforcement**

11-1. Any employee found violating this Policy shall be subject to discipline in accordance with the Tribe's personnel policies and procedures.

**Article XII. Appeal**

12-1. An employee may appeal any disciplinary action arising out of an investigation in accordance with the Tribe's personnel policies and procedures.

**Article XIII. Confidentiality**

13-1. Information related to an investigation is confidential and may only be released in accordance with relevant laws and personnel policies and procedures.

13-2. All investigation materials shall be maintained in the employee's personnel file with the Human Resources Department.

*End.*

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OBC Approved 4-07-99-A  
Amended – 08-13-14-D  
Amended – BC-06-24-15-A